

# Quantum Capacitance Effects In Carbon Nanotube Field-Effect Devices

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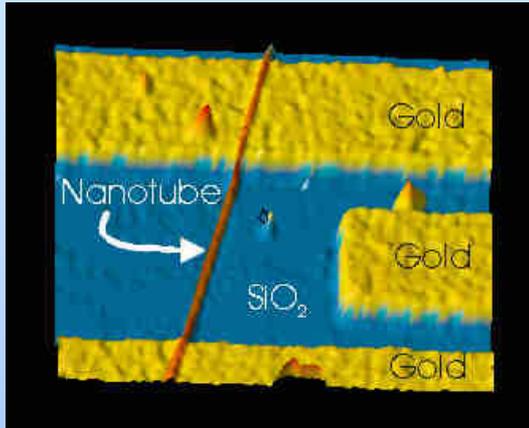
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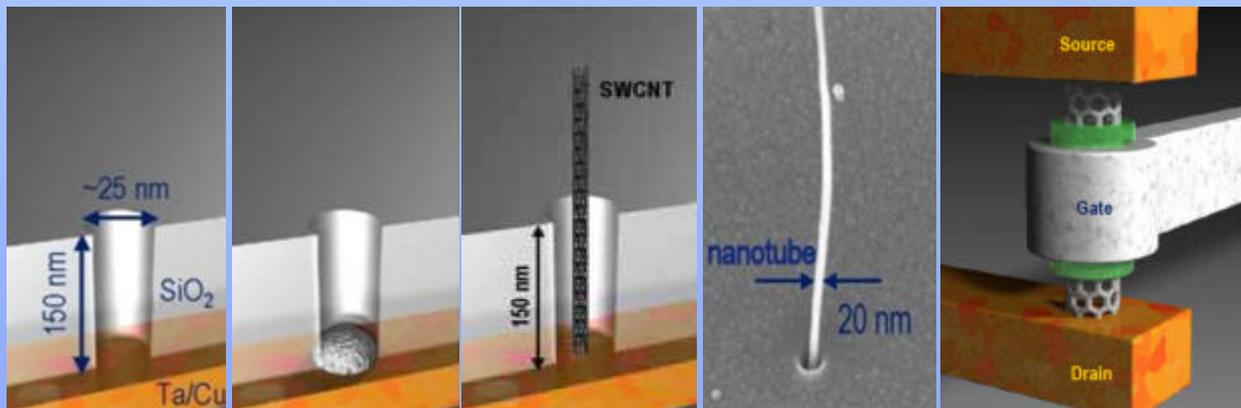


# Devices based on field effect (CNTFET)



Planar  
geometry  
top/bottom  
gate  
(IBM)

- ULSI Devices
- Quasi-1D coherent transport over long distances
- Si integrable technology



Vertical  
Geometry  
coaxial  
gate  
(Infineon)

# *gDFTB*

## *Green's functions Density Functional Tight-Binding*

(A.Pecchia, L.Latessa, A.Di Carlo)

Atomistic simulations:

Quantum treatment

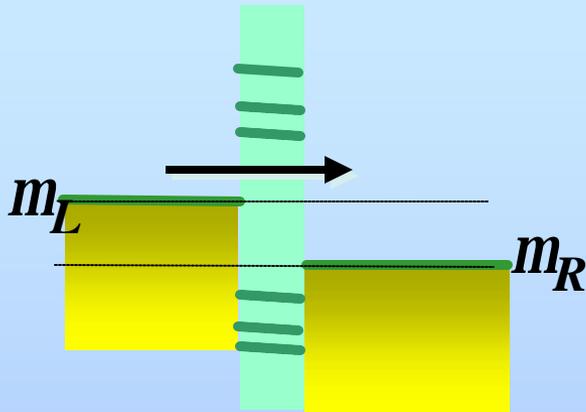
$$\hat{H}\mathbf{y}_k(\vec{r}) = E_k\mathbf{y}_k(\vec{r})$$

$$E[n(\vec{r})] = T_0[n(\vec{r})] + E_{Hartree}[n(\vec{r})] + E_{XC}[n(\vec{r})]$$

Approximated DFT:

$$E^{(2)} = \sum_i n_i \langle \mathbf{y}_i | H_0 | \mathbf{y}_i \rangle + \frac{1}{2} \sum_{m,n} \mathbf{g}_{mn} \Delta q_m \Delta q_n + E^{rep}$$

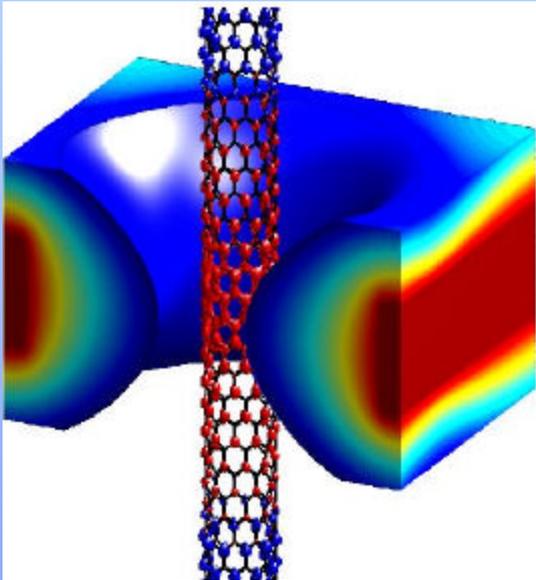
# NEGF Extention



$$\mathbf{r}_{mm} = \frac{1}{2\pi i} \int dE G_{mm}^< \quad \text{Density Matrix}$$

$$\Delta q_m = q_m^0 - \sum_n \mathbf{r}_{mn} S_{mn} \quad \text{Mullikan Charges}$$

$$\begin{aligned} \mathbf{d}n &\rightarrow \nabla^2 \mathbf{d}V_H = -4\mathbf{p} \mathbf{d}n && \text{SCC} \\ &\rightarrow \mathbf{d}H \rightarrow \mathbf{d}G^< \rightarrow \mathbf{d}n' && \text{loop} \end{aligned}$$

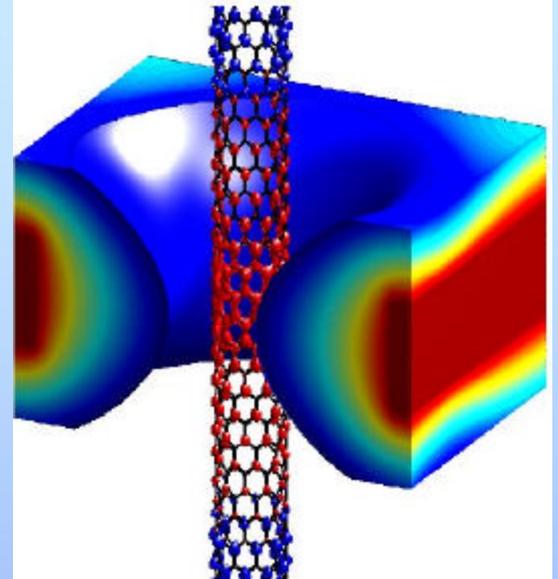


A. Pecchia, A. Di Carlo, *Rep Prog Phys* **67**, 1-65 (2004)

# 3D Poisson Multigrid

$$\vec{\nabla} \left( \mathbf{e}_r(\vec{r}) \vec{\nabla} V(\vec{r}) \right) = -4\mathbf{p}\mathbf{r}(\vec{r})$$

The cylindrical gate is added as an appropriate Dirichlet boundary condition



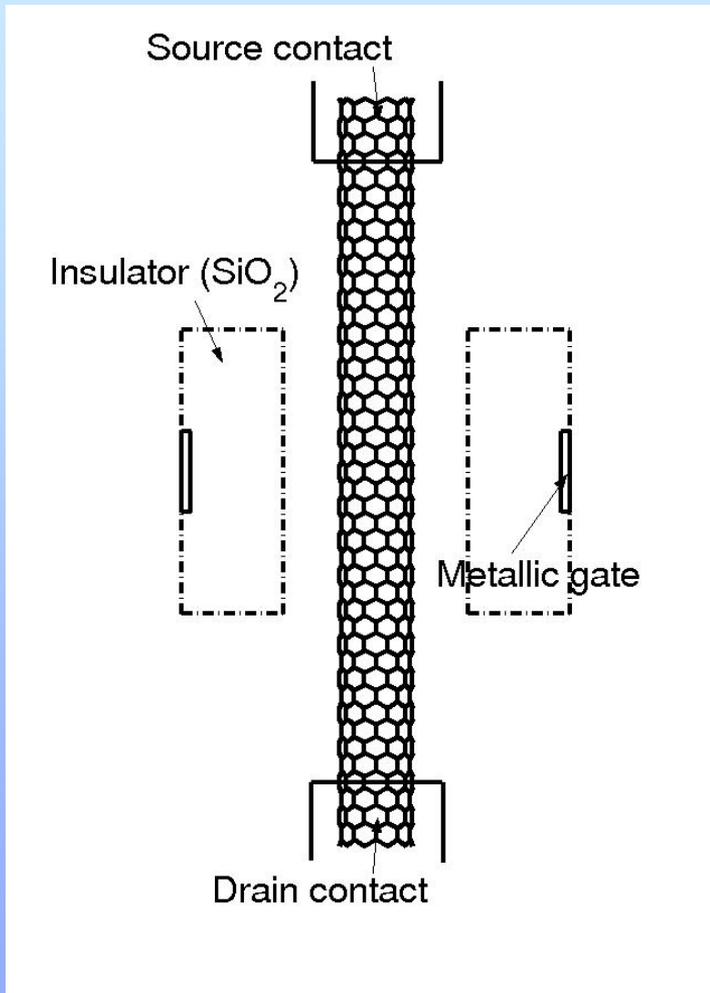
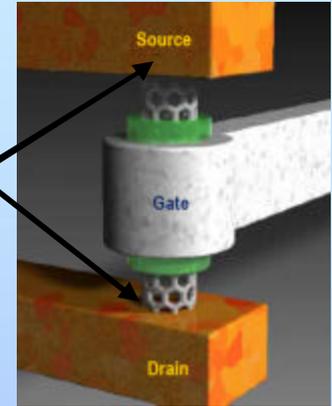
$$\vec{r} \in \text{Metal Gate} \quad \longrightarrow \quad C_{ii} = C_i = 0: \quad C(\vec{r})V(\vec{r}) = \mathbf{r}(\vec{r})$$

$$\vec{r} \in \text{Insulating dielectric and CNT} \quad \longrightarrow \quad C_i = C = 0: \quad C(\vec{r})\nabla^2 V(\vec{r}) = \mathbf{r}(\vec{r})$$

# Coaxially gated CNTFET

Working mechanisms:

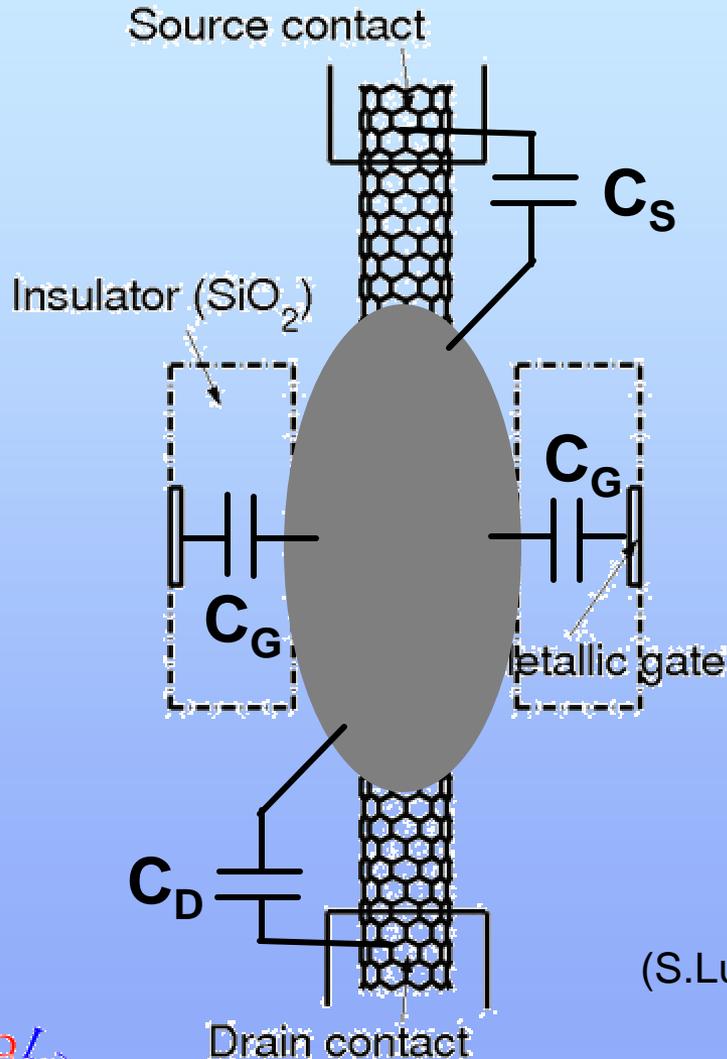
- Schottky barrier modulation at the contacts
- local modulation of channel conductance



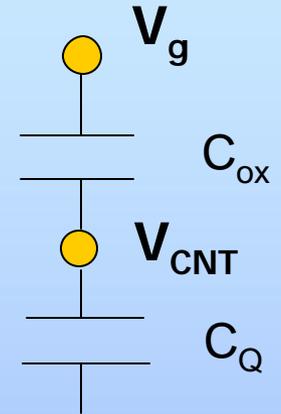
Model:

- semiconducting CNT(10,0)
- neglect Schottky barrier
- Charge injection from p-doped CNT contacts

# CNTFET control capacities



$$\frac{1}{C_G} = \frac{1}{C_Q} + \frac{1}{C_{ox}}$$



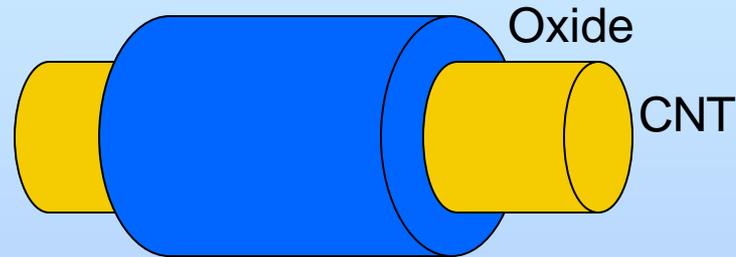
$$\frac{1}{C_Q} = \frac{1}{C_E} + \frac{1}{C_D}$$

$$C_D = e^2 D(E_f) \quad C_E = \left( -\frac{\partial E_l}{\partial Q} \right)^{-1}$$

(S.Luryi, *Appl.Phys.Lett.* 52, 501 (1988), sistemi 2DES)

# Gate capacitance

Gate is a Macroscopic metal



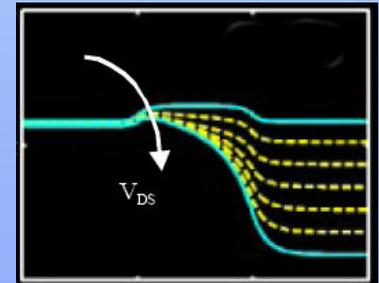
$$C_{ox} = \frac{2pke}{\ln(R_G / R_{CNT})}$$

In a classic MOS  $C_Q \gg C_{ox} \Rightarrow$  modulation depends on  $C_{ox}$

In a well-tempered MOS  $C_G \gg C_S, C_D$

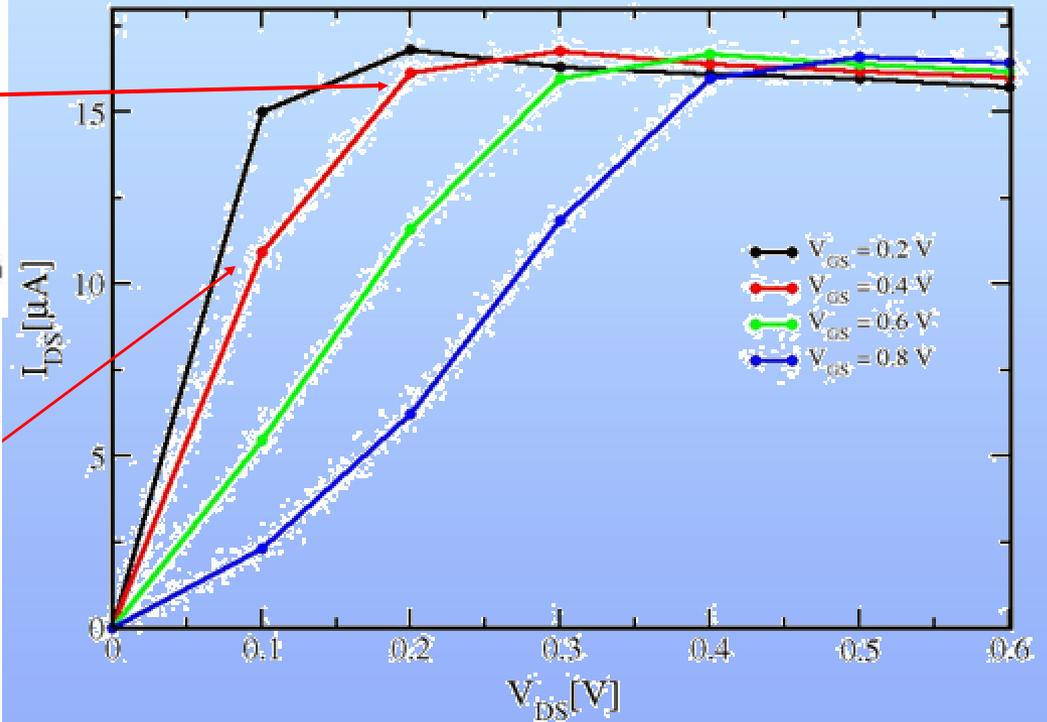
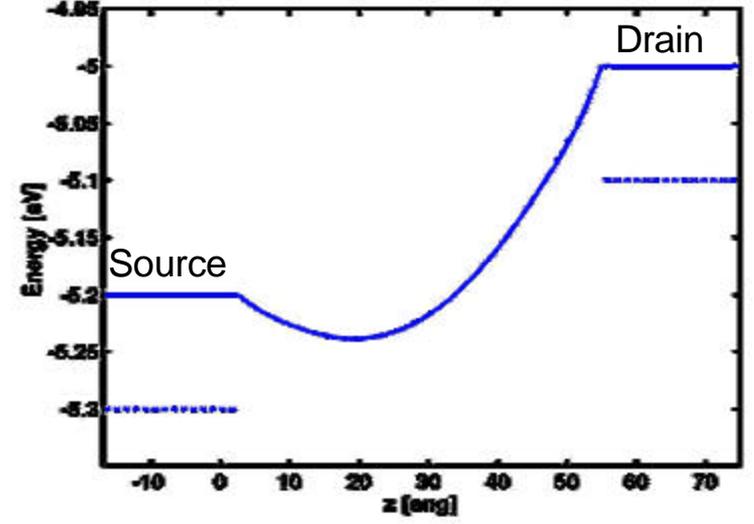
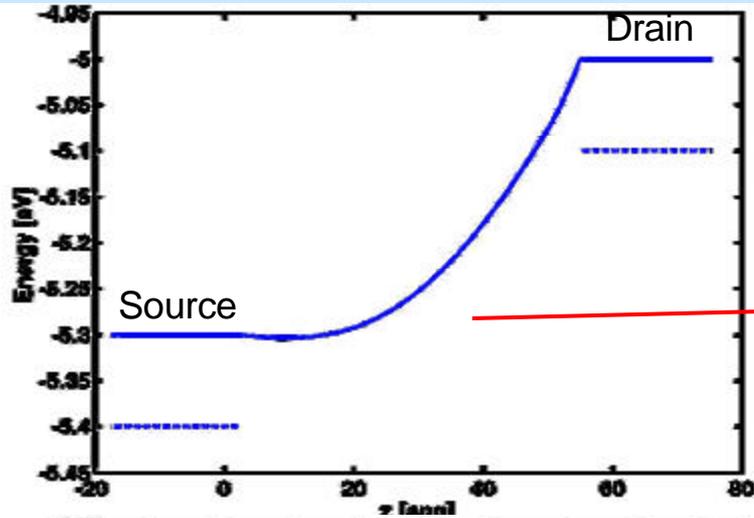
In 1D systems  $C_Q$  is small

$V_{ds}$  can influence the channel charge and barrier

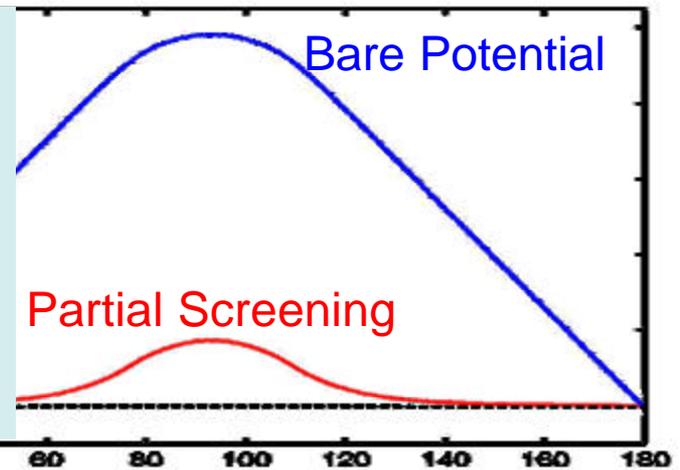
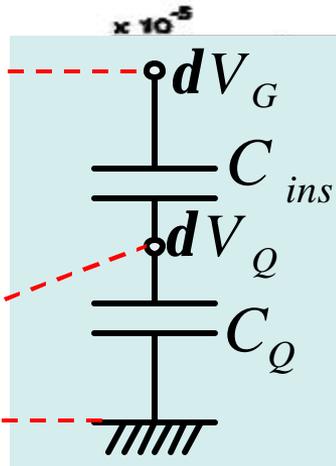
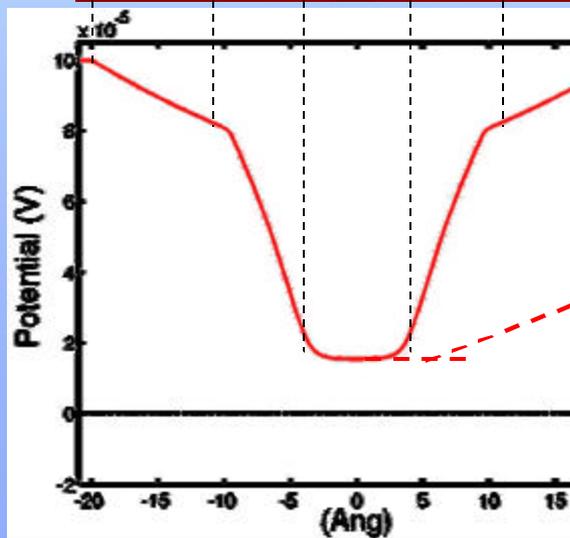
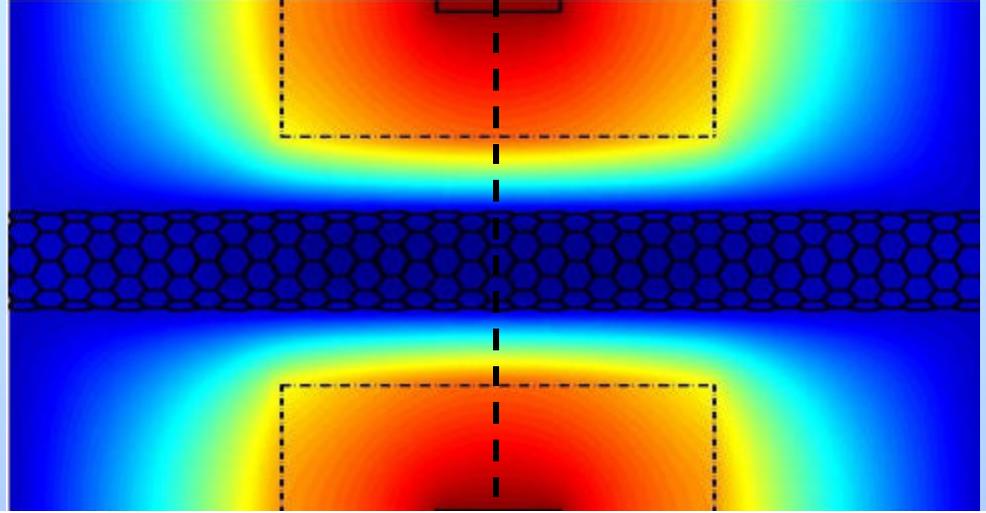
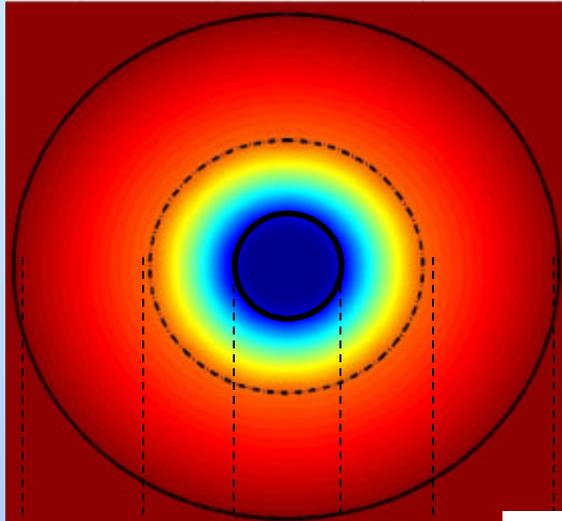


# Caratteristiche di uscita $I_{DS}/V_{DS}$

$$I = \frac{2e}{h} \int_{-\infty}^{+\infty} Tr[\Gamma_2 G^R \Gamma_1 G^A] (f_2 - f_1) dE$$

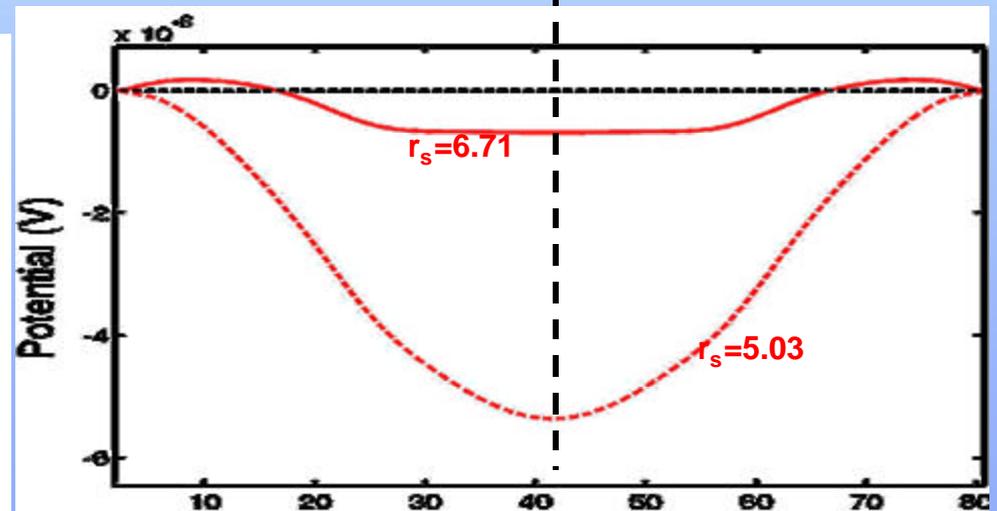
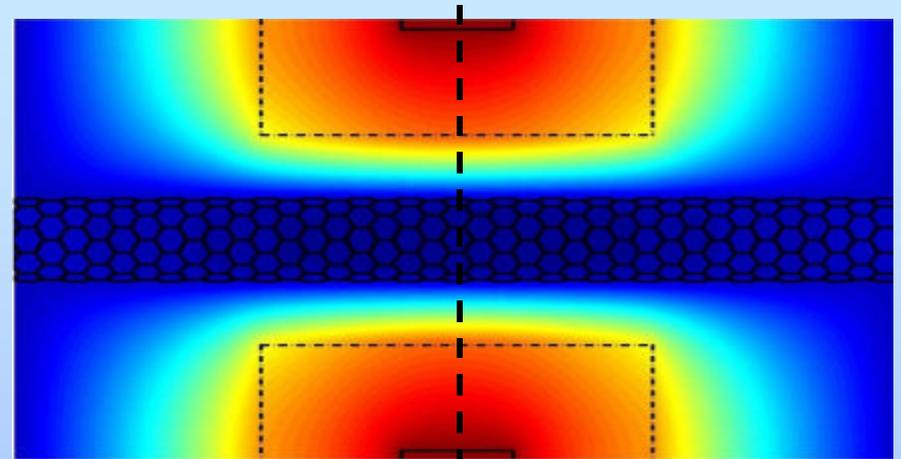
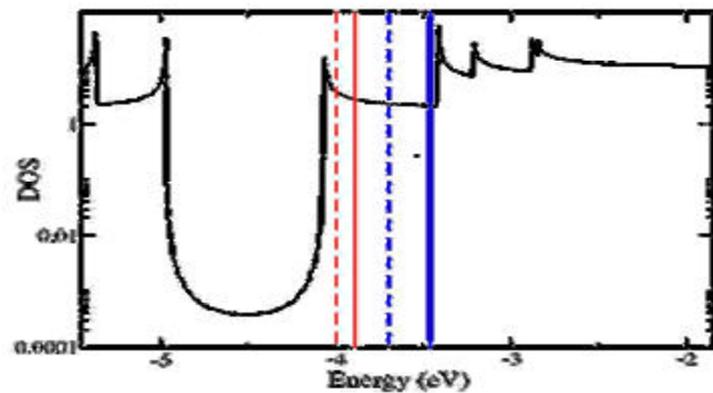
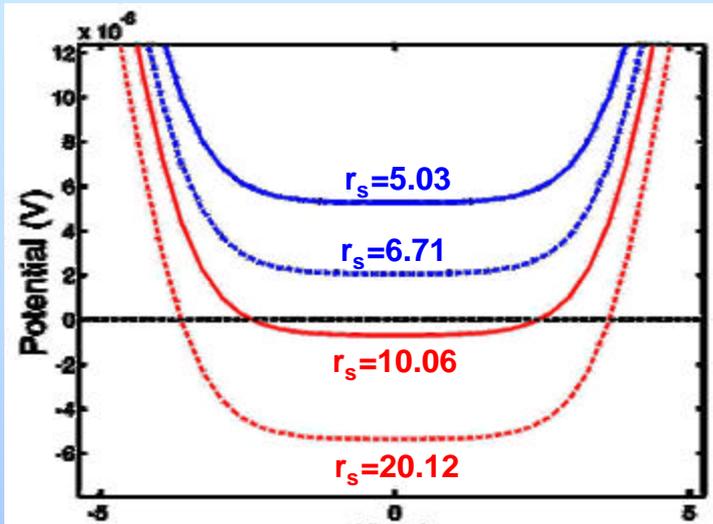


# Calculation of $C_Q$



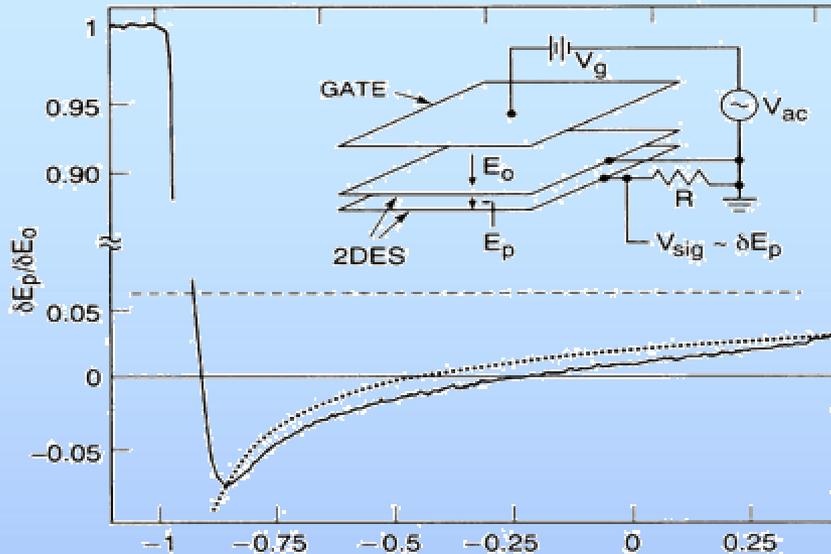
$$C_Q = \frac{dQ}{dV_Q}$$

# Over-screening in CNT



Negative  $C_Q \rightarrow C_{tot} > C_{ins}$   
 The can CNT better than a metal!

# Negative compressibility



Experimental measurements in GaAs quantum wells

J.P. Eisenstein et al., *Phys. Rev. Lett.* 68, 647 (1992)

$$K^{-1} = n^2 \frac{\partial m}{\partial n} \quad \text{ÜP} \quad C_Q^{-1} = \frac{\partial m}{\partial Q}$$

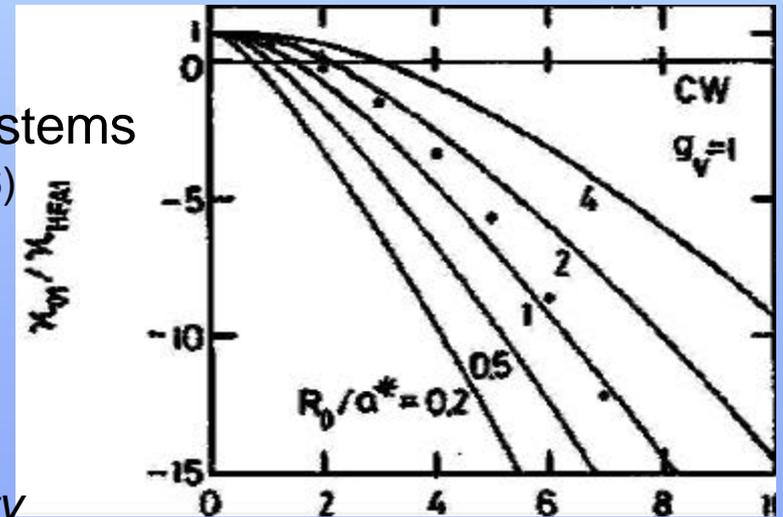
Analytic results for quasi-1D electron systems

L. Calmels, A. Gold, *Phys. Rev. B.* 53, 10846 (1996)

$$\frac{L_{CNT}}{C_Q} = \frac{1}{e^2 r(E_F)} \frac{K_0}{K_{HFA}}$$

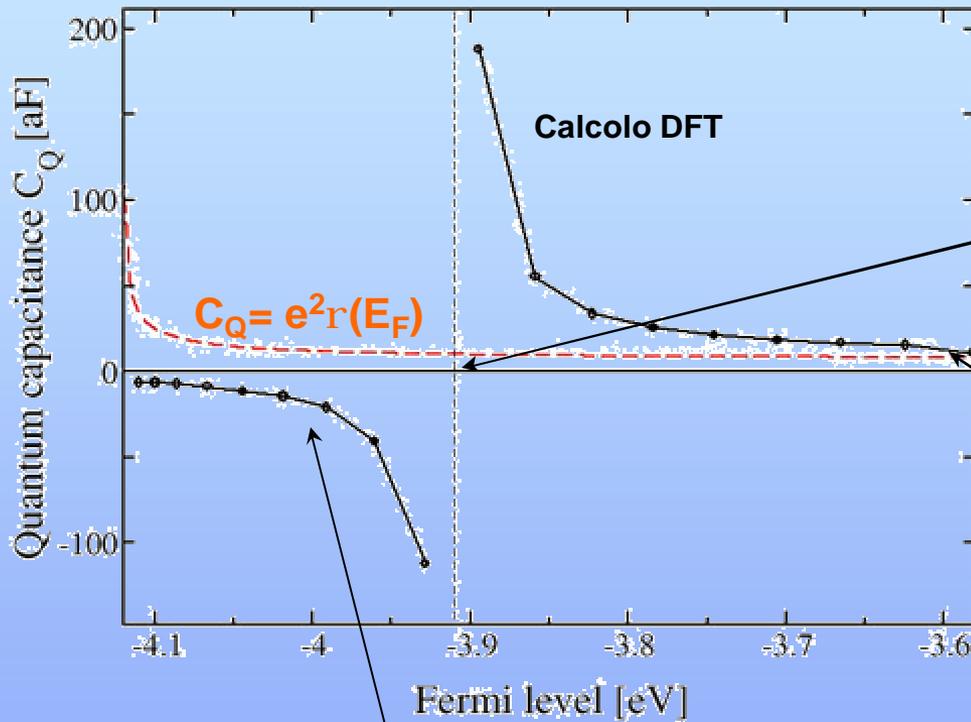
$K_0$ : free electrons compressibility

$K_{HFA}$ : Hartree-Fock exchange compress.



# Many-body exchange effect

$$C_Q = e^2 r(E_F) \frac{K_{XC}}{K_0}$$



Charge carrier density  $n_C$ :  
**TOTAL SCREENING**  
 CNT ~ macroscopico

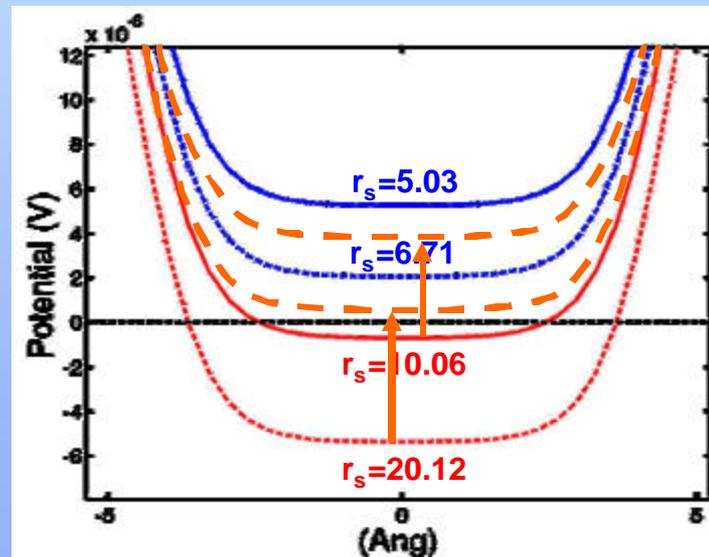
High density:  
**PARTIAL SCREENING**  
 Dominated by DOS

Low density: **OVER-SCREENING**  
*Exchange interaction preveal*

# XC in *gDFTB*

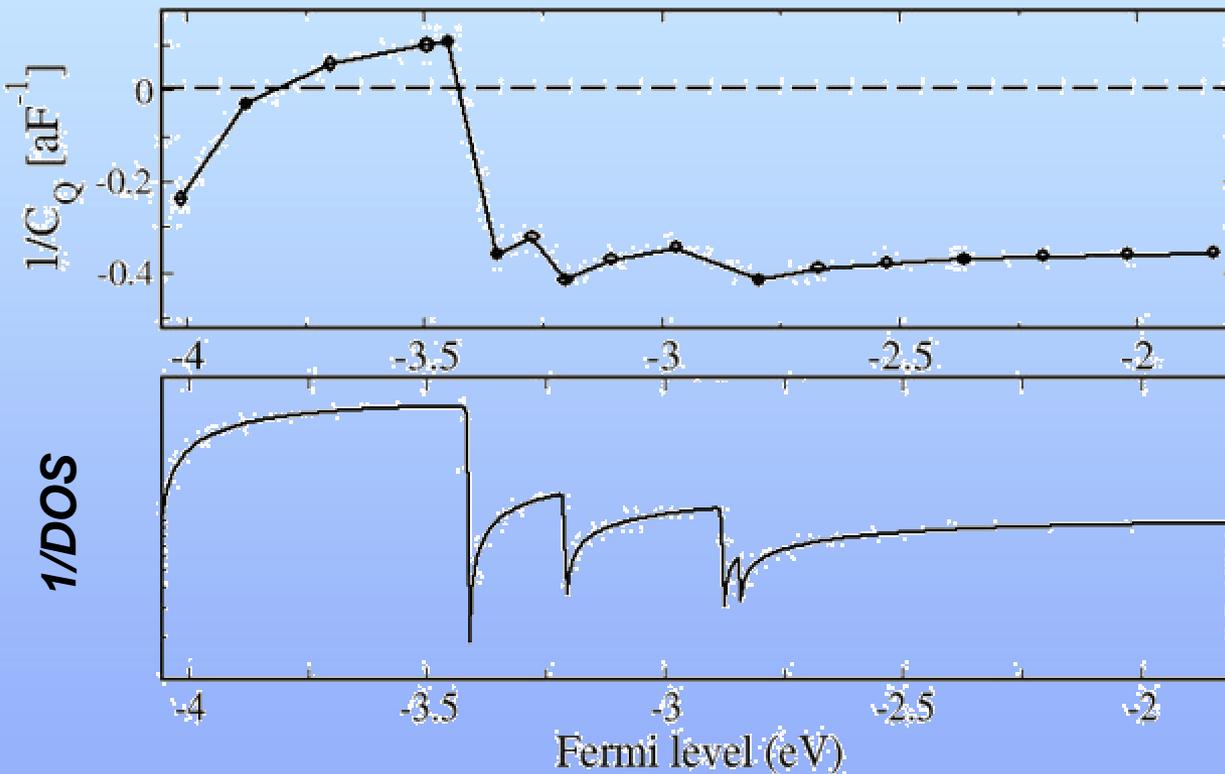
In DFTB the XC term is treated with a Hubbard on-site energy

Substituting  $U_H$  with  $U_{ee}$  accounts only for Hartree



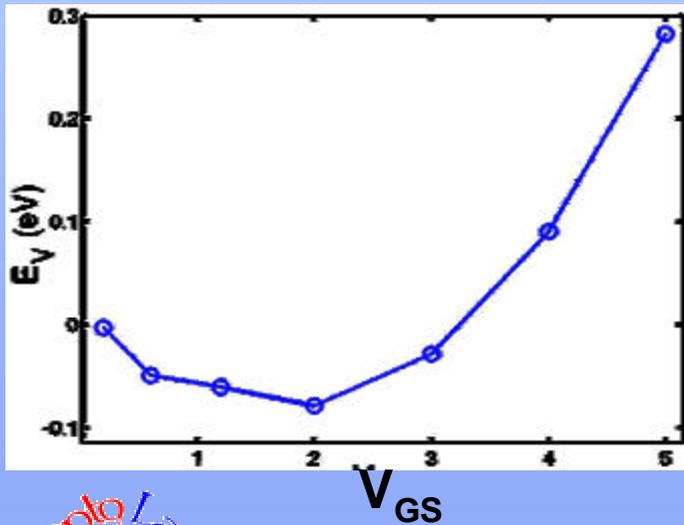
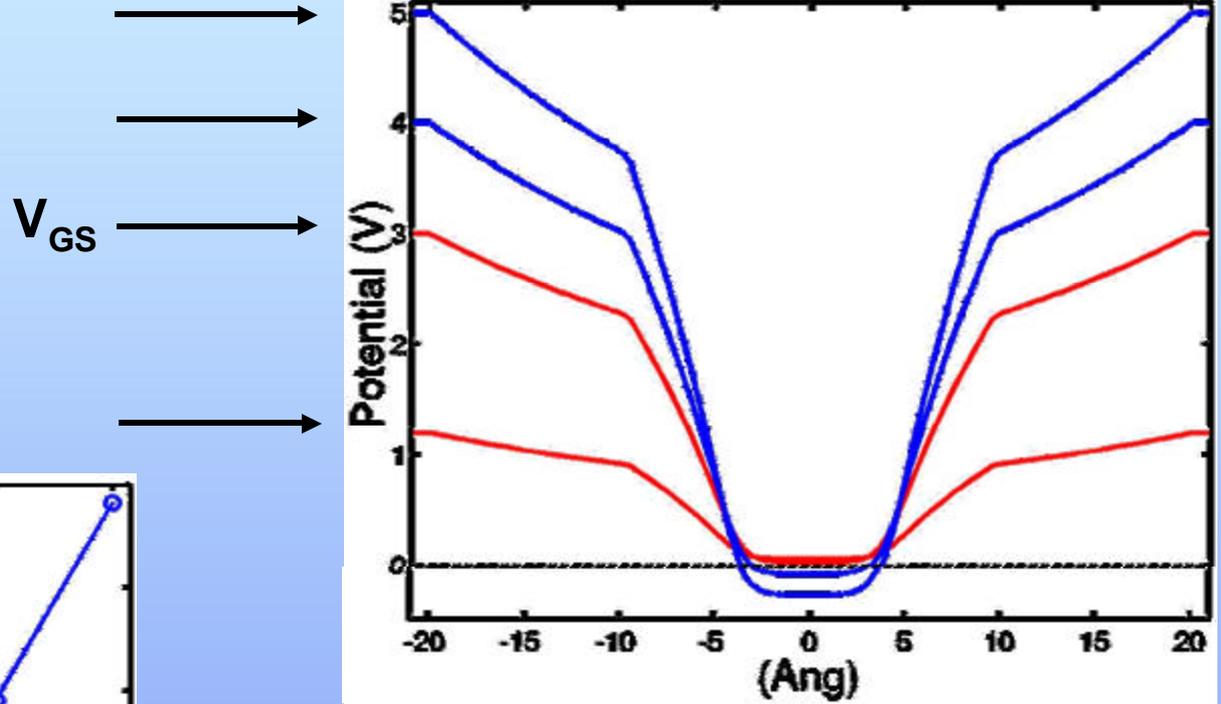
The capacity becomes positive again

# Quantum Capacitance vs DOS



$$\frac{L_{CNT}}{C} = \frac{1}{e^2 r(E_F)} \frac{K_0}{K}$$

# Over-screening and modulation



Unconventional Trans-characteristics  
Possible applications ?

# Conclusions

Comprehensive calculation of Quantum Capacity in a CNT(10,0) using NEGF

XC effects can give deviations from “classical”  $C_Q$

Negative  $C_Q$  below a critical carrier density which compares well with analytic results in quasi 1D systems

More complicated behaviour when more subbands are occupied

